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BY EXPRESS MAIL ON

April 18, 2001 (EK 825 217 243 US)

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BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS:

- (1) Yasuyuki MITSUOKA
- (2) Takashi NIWA
- (3) Kenji KATO
- (4) Manabu OUMI
- (5) Nobuyuki KASAMA (6) Susumu ICHIHARA

TITLE:

OPTICAL WAVEGUIDE PROBE AND MANUFACTURING METHOD OF

THE SAME, AND SCANNING NEAR-FIELD OPTICAL MICROSCOPE

DOCKET NO:

S004-4282

SIR:

Kindly find enclosed herewith for filing the documents Any fees or charges not covered by the checked below. accompanying check should be charged to our Deposit Account No. 01-0268.

APPLICABLE ITEMS CHECKED:

| XX | PATENT APPLICATION | claims (pages) 30-33 and abstract of the disclosure (pg.34)) | |
|----|--|---|--|
| | DESIGN APPLICATION | (specification, claim, declaration and power of attorney and photograph(s)) | |
| XX | FORMAL DRAWINGS | (<u>9</u> sheets) (NI A4) | |
| | INFORMAL DRAWINGS | (sheets) | |
| | RECORDATION FORM COVER SHEET and ASSIGNMENT (to be recorded and returned to the undersigned) | | |

| XX PRIORITY CLAIM - application priori | ant(s) cla ty of the | aim the ben following | efit of application(s): | | |
|--|-------------------------|--------------------------|-------------------------|--|--|
| | se Patent ed April | | 2000-117967 | | |
| | se Patent ed March | | 2001-078891 | | |
| Japane fil | | Appln. No. | | | |
| Japane fil | | Appln. No. | | | |
| XX PRIORITY DOCUMENT(s) | | | | | |
| Enclosed | XX Not | Enclosed | | | |
| VERIFIED STATEMENT TO E | STABLISH S | SMALL ENTI | TY STATUS | | |
| XX EXPRESS MAIL CERTIFICAT | NOI | | | | |
| OTHER - | | | | | |
| XX FEE | | | • | | |
| A check is enclosed for the following official fees: | | | | | |
| | | Large Entity | Small Entity | | |
| XX Basic Filing Fee | | \$ 710.00 | \$ | | |
| l_ Independent Claims in Excess of 3 | | \$ 80.00 | \$ | | |
| 2_ Total Claims in Excess of 20 | | \$ 18.00 | \$ | | |
| Multiple Claim Fee | | \$ | \$ | | |
| Assignment Recordal | Fee | \$ | \$ | | |
| | TOTAL | \$ 808.00 | \$ | | |
| | Respectfu | ally submit | ted, | | |
| | ADAMS & W | ILKS for Appli | cant(s) | | |
| 50 Broadway 31st Floor By | : 100 | | WS | | |
| New York, NY 10004 (212) 809-3700 | Bruce L. Reg. No. | Adams (**) 25,386 | | | |

Re: New patent application of Yasuyuki MITSUOKA

for OPTICAL WAVEGUIDE PROBE AND MANUFACTURING METHOD OF THE SAME, AND SCANNING NEAR-FIELD OPTICAL MICROSCOPE

comprising transmittal letter, title, specification (pgs.1-33), 22 claims (pgs.30-33), abstract of the disclosure (pg.34), 9 sheets of formal drawings containing thereon Figs. 1a-11, express mail certification and check in the amount \$808.00

Attorney's Docket No: S004-4282

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EK 825 217 243 US

Date of Deposit: April 18, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box PATENT APPLICATION, ASSISTANT COMMISSIONER OF PATENTS, Washington, DC 20231.

Michael Ruas